UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

ENRICHETTA RAVINA,

PLAINTIFF,

-- against --

COLUMBIA UNIVERSITY
A/K/A THE TRUSTEES OF COLUMBIA
UNIVERSITY IN THE CITY OF NEW YORK
AND GEERT BEKAERT

DEFENDANTS.

AMENDED COMPLAINT

JURY TRIAL DEMANDED

Case No. 1:16-cv-02137

Plaintiff Enrichetta Ravina ("Plaintiff" or "Ms. Ravina"), by and through her attorneys, Sanford Heisler, LLP, brings this action against Defendant Columbia University, also known as The Trustees of Columbia University in the City of New York ("Columbia University," "Columbia," or "the University"), and Defendant Geert Bekaert ("Professor Geert Bekaert" or "Professor Bekaert"). Plaintiff alleges upon knowledge concerning her own acts and upon information and belief as to all other matters.

I. OVERVIEW

- Columbia University is one of the top research universities in the world, and
 Columbia Business School which is celebrating its centennial year provides a top-ten ranked
 MBA program.
- 2. On Columbia Business School's homepage, Senior Vice Dean Katherine W. Phillips recently appeared in a video entitled "Making the Promise of Diversity a Reality." She begins by stating: "Diversity is a topic that sometimes people hear just the word itself, and it makes them a little nervous. They don't want to talk about it. They shy away from it. But it turns out that right now we are in an age where we are seeing there are, indeed, benefits to

diversity that if organizations can capture, they can really get a competitive advantage. The tricky thing is that it is not always as easy to capture those benefits as we would like. And so where we are right now is recognizing that there is a promise of diversity, and then there is kind of the reality of it. It takes some hard work to actually make sure you get those benefits."

- 3. This statement could serve as a self-diagnosis for the school. While Columbia pays lip-service to the ideals of diversity, the reality is that Columbia simply does not support or promote its female faculty the way it does the men. At the start of the 2015-2016 academic year, there were just eleven women who were tenured faculty members at Columbia Business School out of a total of eighty-one tenured faculty.
- 4. Columbia's failures surrounding Plaintiff Enrichetta Ravina a female junior faculty member at Columbia Business School have been especially acute.
- 5. Enrichetta Ravina joined Columbia as an Assistant Professor of Finance with tremendous promise. Her academic research puts her at the cutting edge of a new field of finance research, centered on the analysis of large datasets, that studies the actual choices of real investors in the United States. Currently, there are fewer than a dozen academics who have been able to analyze and publish research based on actual U.S. investor data, and only a handful publish in the field of retirement research. A senior, tenured faculty member at Columbia recently described Ms. Ravina's work as "remarkable."
- 6. Ms. Ravina was well on her way to establishing herself within that small community when she gained access to data that would allow her to analyze the investment decisions of nearly 4 million individuals saving for retirement. No academics have published retirement research using datasets as large and representative as this one.

- 7. However, when a tenured, senior faculty member who held veto power over this research began subjecting Ms. Ravina to *quid pro quo* sexual harassment, Columbia refused to stop his discriminatory behavior. Instead, Columbia allowed Ms. Ravina to continue to be victimized and her career to languish. Columbia then retaliated against her, revoking Plaintiff's paid leave, accelerating her tenure process, holding an insupportable and indefensible tenure vote over faculty objections, and ultimately announcing the termination of her employment.
- 8. Ms. Ravina's harasser Defendant Geert Bekaert, who is the Leon G. Cooperman Professor of Finance and Economics at Columbia Business School waited until after Ms. Ravina had invested substantial time in this unique dataset, such that she could not reasonably abandon the research without grievously delaying or ruining her career, before he began harassing her. At the point she became captive to his whims, Professor Bekaert began subjecting Ms. Ravina to sexual harassment. He infused their conversations and meetings with sexual content, including talking about how often he watched pornography, applauding the use of prostitutes, and describing his sexual exploits. He sought an intimate relationship with Ms. Ravina, insisting that she meet with him off-campus, touching her inappropriately, demanding that she compliment him, describing her as "sexy," and indicating that he was "horny."
- 9. When Ms. Ravina rejected his sexual advances, Professor Bekaert sabotaged her work, unduly delaying and undermining joint research projects. The more she resisted his sexual advances, the worse his behavior became. He made it clear that he would stall their collaboration and Ms. Ravina's publication efforts until and unless she gave in to his sexual advances. In one of his most explicit demands for a sexual *quid pro quo*, Professor Bekaert told Ms. Ravina that if she were "nicer" to him, he would allow her work to proceed faster.

- 10. When confronted with this intolerable environment, Ms. Ravina repeatedly implored Columbia's senior leaders, including Columbia Business School Dean R. Glenn Hubbard, to intervene. But Columbia's senior leaders repeatedly dismissed and even mocked her complaints, calling her situation a "soap opera," accusing her of flirting with her harasser, and giving her "life advice" to give up her research agenda and start over. Columbia's senior leaders made clear that there was nothing they would do to protect Ms. Ravina.
- 11. Rather than meaningfully intervening to stop the harassment, Columbia retaliated against Ms. Ravina. After telling her that she would be on paid leave for the 2015-2016 academic year, Columbia revoked the paid leave and informed Ms. Ravina that her tenure process would run during the 2015-2016 academic year on an expedited basis. Ms. Ravina was given shorter-than-usual deadlines to submit materials that generally take many months to put together, and she was told that her tenure process would conclude in just a few months when typically the tenure process at Columbia Business School takes at least six months to a year.
- 12. Ms. Ravina's situation was so egregious that her colleagues repeatedly petitioned Columbia in concern and protest. For example, on February 26, 2015, twenty-two faculty members petitioned Columbia to establish protocols to protect junior faculty members like Ms. Ravina where senior faculty like Professor Bekaert abuse their power and significantly obstruct the ability of junior faculty to work. On January 26, 2016, seventeen tenured faculty members in Ms. Ravina's department sent a letter to the Dean of Columbia Business School and the Provost of Columbia University, advocating that Ms. Ravina's tenure clock be extended because of the obstruction of her work by her senior co-author. On April 13, 2016, as Ms. Ravina's scheduled tenure vote neared, sixteen tenured faculty members in Ms. Ravina's department sent yet another letter to the Dean of Columbia Business School and the Provost of Columbia University

indicating that they were not in a position to evaluate Ms. Ravina's tenure candidacy because her tenure case "presents issues beyond the evaluation of [her] current work product."

- 13. Ms. Ravina has suffered from *quid pro quo* harassment from Professor Bekaert for more than three years, and Columbia's senior leaders have knowingly refused to stop it for more than two years.¹ With every other course of action exhausted and with Columbia's unlawful discrimination and retaliation resulting in the recent denial of her tenure and the announcement of her termination, Ms. Ravina hopes to finally get meaningful relief by bringing this action.
- 14. Ms. Ravina brings claims under the New York City Human Rights Law; Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. 2000e *et seq.*; and Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. § 1681 *et seq.* She brings claims of gender discrimination, *quid pro quo* sexual harassment, hostile work environment, retaliation, discrimination in promotion, and unlawful termination.
 - 15. Ms. Ravina seeks damages in excess of \$30 million.

II. THE PARTIES

16. **PLAINTIFF ENRICHETTA RAVINA** has been an employee of Columbia University since July 1, 2008, serving as Assistant Professor in the Finance and Economics

¹ By agreement with Columbia, the applicable statutes of limitation on Ms. Ravina's claims against

Plaintiff voluntarily discontinued the action in New York Supreme Court without prejudice on July 6, 2016, in order to bring this action against Defendant Bekaert.

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Columbia under federal, state, and local laws were tolled from September 25, 2015 through March 20, 2016. The accrual of the applicable statutes of limitations on Ms. Ravina's claims against Defendant Bekaert was stopped by Plaintiff's filing in New York Supreme Court of a summons with notice of her claims under the New York City Human Rights Law on September 23, 2015; by agreement with Defendant Bekaert, Plaintiff's deadline to file a complaint asserting those claims was extended beginning on November 11, 2015, and continuing thereafter on an open-ended basis through the present day.

Division at Columbia Business School. Ms. Ravina is domiciled in Manhattan, and she works for Columbia University in Manhattan.

- 17. **DEFENDANT COLUMBIA UNIVERSITY**, also known as **THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK**, is a private university that, upon information and belief, receives federal funding. Columbia is based in Manhattan.
- 18. **DEFENDANT GEERT BEKAERT** is the Leon G. Cooperman Professor of Finance and Economics at Columbia Business School. Professor Bekaert is domiciled in Manhattan, and he works for Columbia University in Manhattan.

III. JURISDICTION AND VENUE

- 19. This Court has jurisdiction over this suit pursuant to 28 U.S.C. § 1331 because this action involves claims brought under Title VII and Title IX. This Court has supplemental jurisdiction over Plaintiff's claims under the New York City Human Rights Law pursuant to 28 U.S.C. § 1367.
- 20. Plaintiff timely filed a charge with the United States Equal Employment Opportunity Commission ("EEOC") on March 18, 2016. The EEOC issued Ms. Ravina notice of her right to sue on April 26, 2016. There is no requirement of administrative exhaustion under the New York City Human Rights Law or Title IX.
- 21. Venue is proper in this District under 28 U.S.C. § 1391(b) and 42 U.S.C. § 2000e-5(f). Defendant Columbia is headquartered in this District, and Defendant Bekaert is domiciled in this District. The unlawful employment practices complained of herein occurred in this District, and the events or omissions giving rise to Plaintiff's claim occurred in this District.

IV. <u>FACTUAL ALLEGATIONS</u>

A. Ms. Ravina's Educational and Professional Background

- 22. Ms. Ravina earned her Bachelor of Arts degree in Monetary and Financial Economics from the University of Torino in 1998, graduating *summa cum laude*. She earned her Ph.D. in Economics from Northwestern University in 2005.
- 23. From 2005 to 2008, Ms. Ravina was an Assistant Professor of Finance at New York University Stern School of Business. Ms. Ravina was subsequently recruited by Columbia, and in 2008 she was appointed Assistant Professor of Finance at Columbia Business School.
- 24. During her period of employment with Columbia, Ms. Ravina also served as a Visiting Scholar at Harvard Business School during the fall semester of the 2010-2011 academic year and as a Visiting Scholar at the Federal Reserve Bank of New York during the fall semester of the 2012-2013 academic year.

B. Ms. Ravina's Strong Performance at Columbia

- 25. As an academic economist, Ms. Ravina researches the asset allocation, borrowing, and investment decisions of individual households.
- 26. Ms. Ravina's work puts her on the cutting edge of a new area of finance research: using extremely large datasets containing individual-level observations to analyze personal financial decisions. Currently, there are fewer than a dozen academics who have been able to analyze and publish research based on actual U.S. investor data, only a handful publish in the field of retirement research, and none have published retirement research using datasets as large and representative as Ms. Ravina's.
- 27. Ms. Ravina's research has attracted substantial interest in the academic community. Ms. Ravina has presented her work at top economics conferences, including those

convened by the American Economic Association, the American Finance Association, and the National Bureau of Economic Research. She has also been invited to speak at leading universities and economic research institutions, including Cornell University, Yale Law School, Wharton School of the University of Pennsylvania, Massachusetts Institute of Technology, London Business School, and the Board of Governors of the Federal Reserve.

- 28. Ms. Ravina's academic research has also been featured in popular financial media, including outlets such as the *Wall Street Journal*, the *Financial Times*, the *Atlantic*, *Slate*, Bloomberg, and Reuters.
- 29. During her tenure at Columbia, Ms. Ravina has applied for and been awarded numerous grants to support her academic research, from both Columbia and outside institutions.
- 30. In addition to her academic research, during her tenure at Columbia, Ms. Ravina has taught six semester-long corporate finance courses consisting of three sections per semester to Columbia University undergraduates and to Columbia Business School's full-time MBA students and executive MBA students.

C. Sexual Harassment and Retaliation by Professor Geert Bekaert

- 31. For much of her time at Columbia, Ms. Ravina has devoted her research efforts to a large and unique dataset concerning the allocation of individual retirement savings. Her analysis of this dataset is expected to make a major contribution in her academic field.
- 32. During the 2009-2010 academic year, Professor Bekaert approached Ms. Ravina and informed her that he could provide her with access to this large dataset because he had a long-standing relationship with the company that owned the data.
- 33. Professor Bekaert suggested that Ms. Ravina focus her academic efforts on coauthoring papers with him related to this dataset. The research fell within Ms. Ravina's area of

expertise and had the potential to make a significant contribution to her field of research. However, pursuing this line of research would necessarily dominate her time and efforts.

- 34. With the encouragement of Professor Bekaert and other senior faculty at Columbia, Ms. Ravina agreed to pursue this area of research to the virtual exclusion of others.
- 35. Preparing such a large dataset for analysis is a very time-intensive undertaking. Ms. Ravina spent more than two years negotiating the terms surrounding the handling and analysis of the dataset and then preparing the dataset for analysis, including writing the codes; training around forty research assistants and directing their work; selecting, collecting, and assembling additional publicly available data to link to the proprietary dataset; and making an array of decisions that shaped the final dataset. Professor Bekaert did not play any active role in preparing the dataset.
- 36. For scholars in Ms. Ravina's field, analysis that hinges on such a large dataset typically involves a particular focus in the early years on preparing and working with the dataset for statistical analysis and then a glut of publications on the back end.
- 37. After Ms. Ravina invested substantial time in preparing the dataset for analysis and was prepared to move forward with her publications, however, Professor Bekaert began subjecting Ms. Ravina to overt sexual harassment. Professor Bekaert waited to subject her to this predatory conduct until she had invested so much time into the project that she could not reasonably abandon the work without grievously delaying or ruining her chances at tenure.
- 38. Once Ms. Ravina was inextricably invested in the project, during the 2012-2013 academic year Professor Bekaert started inserting sexual content into his conversations and meetings with Ms. Ravina. For example, he told Ms. Ravina that he watched pornography regularly and that prostitutes were necessary to take care of a man's sex drive. He told Ms.

Ravina about his sexual exploits, including sexual advances he made towards his doctor, how he had slept with a hostess in Hong Kong, and how he wanted to sleep with a young woman he met near Columbia who he believed was underage. He frequently asked Ms. Ravina for dating advice and discussed his attempts at picking up and sleeping with women.

- 39. Professor Bekaert sought an intimate relationship with Ms. Ravina. He repeatedly insisted that they meet at social settings like coffee shops and over dinners rather than in more traditional academic settings. At those "meetings," he refused to have substantive or detailed discussions of their joint research and instead used those interactions to harass Ms. Ravina.
- 40. At one of those dinners, Professor Bekaert held her hand in his. In another instance, Professor Bekaert said the way Ms. Ravina walked was "sexy." Professor Bekaert also frequently asked her for compliments and reassurance that he was not "too old." Once when Ms. Ravina was alone with him in his office, Professor Bekaert forced her to read out loud a message on his mug about being "horny."
- 41. Professor Bekaert's harassment was deeply upsetting to Ms. Ravina, and she repeatedly rejected Professor Bekaert's sexual advances. Again and again, she tried to focus conversations and meetings with Professor Bekaert on their joint research but to no avail.
- 42. When Ms. Ravina rebuffed Professor Bekaert's sexual advances, Professor Bekaert sabotaged Ms. Ravina's work by stalling her research. Professor Bekaert refused to promptly respond to analyses, drafts, or other communications regarding joint papers even though he was well known for providing prompt turn-around on other projects. He insisted that Ms. Ravina perform irrelevant or unnecessary assignments that were time consuming and did not substantively improve or change the research. Professor Bekaert also frequently threatened to persuade the company that owned the data to revoke Ms. Ravina's access to the data, which

would have made it impossible for her to publish the research she had spent years preparing and analyzing.

- 43. Another tenured faculty member at Columbia observed, "Professor Bekaert has acted in a systematic manner to slow down and delay Ms. Ravina's work. . . . From what I could gather, he has substantially and unjustifiably delayed papers . . . from being sent out for initial review to refereed journals. His conduct is contrary to anything I have witnessed in academia."
- 44. As a senior, tenured faculty member at Columbia, Professor Bekaert functioned in a managerial or supervisory capacity towards Ms. Ravina. He held himself out to Ms. Ravina as her official "mentor" with respect to her academic career at Columbia, and he was allowed to delay or impede her work. Furthermore, as a tenured, senior faculty member, Professor Bekaert was able to exert influence and disparage Ms. Ravina and her abilities to other members of the faculty. Because tenured faculty vote on tenure applications, this influence was of considerable concern.
- 45. By the time a tenure application is submitted, successful applicants typically will be able to point to approximately six or seven publications in top journals in their field. Professor Bekaert was well aware of what delay would mean to her career.
- 46. It is in this context that the true menace of Professor Bekaert's explicit *quid pro quo* comments becomes clear; when he explicitly told Ms. Ravina that if she were "nicer" to him, he would allow her work to proceed faster, Professor Bekaert was informing her that her career hinged on acquiescing to his overtures.
- 47. As a result of Professor Bekaert's retaliation for her refusal to acquiesce, Ms. Ravina's research and publication efforts have been delayed by approximately three years and she has been prevented from being able to prepare a successful tenure application.

D. Columbia Ratifies and Refuses to Address the Gender Discrimination

- 48. Ms. Ravina repeatedly reported the ongoing *quid pro quo* sexual harassment and retaliation to Columbia and how this gendered treatment compromised her ability to do her work, but Columbia failed to take immediate and appropriate corrective action.
- 49. In or about May 2014, Ms. Ravina informed two senior faculty members at Columbia Business School about Professor Bekaert's sexual harassment and shared her desire to escape the harassment and protect her ability to work.
- 50. In or about May 2014, Ms. Ravina reported Professor Bekaert's sexual harassment to Gita Johar, then Senior Vice Dean for Research. Upon information and belief, Senior Vice Dean Johar promptly informed the Dean of Columbia Business School, R. Glenn Hubbard, of the sexual harassment.
- 51. In or about June 2014, Ms. Ravina met and discussed her concerns with Dean Hubbard; Janet Horan, Vice Dean of Administration; and Suzanne Goldberg, the Herbert and Doris Wechsler Clinical Professor of Law at Columbia Law School. At the meeting, Dean Hubbard was confrontational towards Ms. Ravina and stated at the outset that there was nothing Columbia could do to help her.
- 52. Professor Goldberg, whose presence Ms. Ravina had requested and whose specialties include civil rights and gender law, disagreed with Columbia's position. She proposed a range of interventions that Columbia could utilize to address the situation. For example, Professor Goldberg proposed limiting Professor Bekaert's involvement in current research papers involving the dataset and removing him from any future research paper stemming from the dataset. Columbia refused to take that step. Columbia did agree to the intermediate step of designating an expert in this field as the "relationship manager" to oversee

all communications between Ms. Ravina and Professor Bekaert to facilitate their professional relationship; however, Columbia refused to empower the relationship manager to intervene.

- 53. On or about July 15, 2014, Senior Vice Dean Katherine W. Phillips met with Ms. Ravina and pressured her not to pursue any complaints against Professor Bekaert. She told Ms. Ravina to "forget about" complaining about Professor Bekaert's harassment, and she excused Professor Bekaert's conduct by saying he was just "blunt" because he is Belgian. Senior Vice Dean Phillips further trivialized Ms. Ravina's concerns by professing to offer what she called "life advice" specifically, she advised Ms. Ravina to walk away from all projects connected to the dataset. Given Ms. Ravina's area of specialization and enormous time investment in the project, this would have been extremely detrimental to her career. Notably, Senior Vice Dean Phillips's private admonitions and chastisements of Ms. Ravina directly contradict her public comments regarding protecting people so that they might thrive and go about their work unhindered by gendered treatment.
- 54. In September 2014, Ms. Ravina met again with Dean Hubbard, Vice Dean Horan, and Professor Goldberg. There, Dean Hubbard mocked Ms. Ravina's complaint, comparing Ms. Ravina's complaint to a "soap opera." Dean Hubbard proceeded to blame Ms. Ravina for Professor Bekaert's conduct, accusing her of flirting with Professor Bekaert and scolding her for working with him. Dean Hubbard refused to agree to take any action to curb Professor Bekaert's behavior.
- 55. At Professor Goldberg's insistence once again, Dean Hubbard agreed to meet with Professor Bekaert. But Dean Hubbard refused to impose any basic requirements (proposed by Ms. Ravina and Professor Goldberg) on Professor Bekaert. Instead, Dean Hubbard said that if Professor Bekaert did not agree voluntarily, the next step would be to convene a meeting of the

senior faculty. When Professor Bekaert refused to voluntarily agree to the set of basic requests proposed by Ms. Ravina and Professor Goldberg, however, Dean Hubbard failed to convene such a meeting of the senior faculty.

- 56. In October 2014, Ms. Ravina met with Stephen Zeldes, Chair of the Finance and Economics Division at Columbia Business School. Division Chair Zeldes rejected the idea that Columbia had any responsibility for providing Ms. Ravina with a discrimination-free environment or for holding Professor Bekaert accountable for his behavior.
- 57. In or about November 2014, Columbia's Title IX office informed Ms. Ravina that it had concluded that there had been no violation of Columbia's policy and blamed Ms. Ravina for "sour[ing]" a working relationship with a colleague by "not communicat[ing] effectively."
- 58. On or about December 16, 2014, Ms. Ravina reported Professor Bekaert's continued retaliation to Melissa Rooker, the Associate Provost for Equal Employment Opportunity and Affirmative Action. Associate Provost Rooker declined to investigate this complaint.
- 59. Throughout this period, Professor Bekaert continued his obstruction of Ms. Ravina's work and, if anything, escalated his retaliation and discrimination against her because of her efforts to get help. Professor Bekaert also bragged to Ms. Ravina about the inefficacy of Columbia's Title IX office. He recounted, as an example, an instance when a female MBA student in his class made a complaint against him, and he said the Title IX investigator had been "very friendly" to him and that he had "won."
- 60. Indeed, Columbia's willful indifference to the ongoing harassment is part of a larger pattern of ignoring Professor Bekaert's history of harassment. Upon information and belief, when Professor Bekaert came to Columbia, he had a history of harassing his female

subordinates. Professor Bekaert told Ms. Ravina that when he previously worked at Stanford, so many female assistants had complained about him that it had almost cost him tenure.

E. Ms. Ravina's Colleagues Express Their Dismay and Support

- 61. Professor Bekaert and Columbia's treatment of Ms. Ravina raised grave concerns among Columbia's tenured faculty members.
- 62. On October 22, 2014, Professor Goldberg wrote to Vice Dean Horan to express her disappointment in Columbia. She explicitly noted that "gender is playing a role here in the senior colleague's behavior" and "there are ways in which [Columbia] could easily, and without significant cost, provide more support to [its] junior faculty members, including to the women."
- 63. Upon information and belief, the "relationship manager" appointed to monitor Professor Bekaert's communications with Ms. Ravina also requested that he be empowered to take a more active role in curbing Professor Bekaert's obstructionist conduct, but Columbia refused that request.
- 64. Upon information and belief, in or around mid-January 2015, a senior faculty member expressed his concerns about Ms. Ravina's treatment to President Lee Bollinger, but President Bollinger responded that he would not intervene and explained that he had been "advised" to not "get involved."
- 65. On February 26, 2015, senior faculty members at Columbia Business School took the unusual step of submitting a petition supported by twenty-two tenured faculty members requesting that Columbia create a policy to govern situations where "senior faculty members may behave inappropriately or [do] not fulfill their duties" when working with junior colleagues. The faculty petitioners expressed concern about "inappropriate exercises of power or delays" coming from senior faculty members and indicated that the University should impose a

"rebuttable presumption that the senior faculty member would step aside" in circumstances like Ms. Ravina's

66. Upon information and belief, the Columbia administration never responded to or acted upon the tenured faculty's efforts on Ms. Ravina's behalf.

F. Columbia Retaliates Against Ms. Ravina, Denies Her Tenure, and Announces Her Termination

- 67. After repeated efforts to communicate directly with Columbia about ongoing sexual harassment and retaliation failed to result in any meaningful action, Ms. Ravina eventually retained counsel in hopes that outside help might be more effective. Columbia was notified that Ms. Ravina had retained counsel in connection with her claims.
- 68. On June 1, 2015, Dean Hubbard informed Ms. Ravina that she would be on paid academic leave for the upcoming 2015-2016 academic year. At Columbia, being placed on such a leave typically removes someone from the tenure clock for that year; accordingly no action with respect to her tenure would be taken during the 2015-2016 academic year.
- 69. When Ms. Ravina indicated that Columbia still needed to do something to rein in Professor Bekaert in order to enable her to make meaningful progress during the 2015-2016 year and that she was prepared to file suit to vindicate her rights if needed, Columbia retaliated against Ms. Ravina.
- 70. In late September 2015, Columbia suddenly revoked the unpaid leave that it had previously granted to Ms. Ravina by Dean Hubbard and claimed that the grant of leave had been a "mistake" and that it was not possible to put Ms. Ravina on leave. However, Columbia's own internal rules expressly authorize the granting of a one-year leave of absence to a professor, with only the approval of the professor's department and the dean of her school.

- 71. Furthermore, on December 17, 2015, Columbia informed Ms. Ravina that her tenure process would begin immediately and that it would run on an accelerated basis. She was told that her tenure submissions would be due in January 2016, giving her approximately one month to prepare materials that normally take tenure candidates many months to assemble. Columbia also informed Ms. Ravina that her tenure would be decided by March 2016.
- 72. This accelerated process was a stark divergence from the normal tenure process at Columbia, which typically takes at least six months to one year to conclude.
- 73. Columbia has a process whereby individuals can request extension of the tenure clock. Upon information and belief, Columbia has granted extensions of the tenure clock to similarly situated male employees.
- 74. On December 24, 2015, Ms. Ravina sent a letter to John Coatsworth, Provost of Columbia University, seeking paid leave and an extension of her tenure clock so that she would submit her application during the spring semester of the 2017-2018 academic year due to "compelling personal need [and] personal hardship."
- 75. On January 15, 2016, Christopher Brown, Vice Provost for Faculty Affairs, denied Ms. Ravina's request but suggested that Ms. Ravina could follow-up and provide additional information to support her request.
- 76. On January 25, 2016, Ms. Ravina responded to Vice Provost Brown with a letter that provided a detailed overview of Professor Bekaert's sexual harassment and the University's failure to intervene and acts of retaliation.
- 77. Again, members of the senior faculty banded together in support of Ms. Ravina and to call on Columbia to take steps to remedy the situation. On January 26, 2016, seventeen tenured faculty members in Columbia Business School's Finance and Economics Division

submitted a petition to the Dean of Columbia Business School and the Provost of Columbia University, advocating that Ms. Ravina's tenure clock be extended because of the obstruction of her work by her senior co-author.

- 78. On February 24, 2016, Columbia again denied Ms. Ravina's request without providing any explanation or response to the issues she raised. Instead, Vice Provost Brown announced a deadline of March 1, 2016, for Ms. Ravina to submit her tenure package less than a week after learning her request had been denied.
- 79. Left with little choice, Ms. Ravina ultimately submitted what tenure materials she could, along with a renewed request that Columbia halt its discriminatory and retaliatorily-accelerated tenure process. Columbia refused to respond to this request.
- 80. Additionally, Columbia failed to follow its normal tenure protocols. After Ms. Ravina submitted her tenure application, Columbia failed to promptly distribute Ms. Ravina's tenure submissions to senior faculty members would be expected to vote on her tenure.
- 81. Furthermore, after Ms. Ravina submitted her tenure application, Columbia repeatedly postponed the meeting for tenured faculty members in Ms. Ravina's department to review and vote on Ms. Ravina's tenure application. While Columbia originally scheduled the vote for January 19, 2016, it rescheduled the meeting for February 17, 2016, then for March 2, 2016, then for March 30, 2016, then for April 12, 2016, and then finally for April 14, 2016. Upon information and belief, Columbia invited Ms. Ravina's harasser Professor Bekaert multiple times to attend Ms. Ravina's tenure review meeting.
- 82. Upon information and belief, Columbia was informed that numerous faculty members were unwilling to vote on Ms. Ravina's tenure application based on her circumstances. Despite the faculty's stated objections, Columbia's administration repeatedly implored faculty

members to attend the meeting to vote on Ms. Ravina's tenure candidacy. Upon information and belief, on April 12, 2016, Dean Hubbard convened a meeting in which he petitioned tenured faculty members to attend the meeting to vote on Ms. Ravina's tenure candidacy. Upon information and belief, Division Chair Zeldes also met individually with faculty members to request that they attend the meeting to vote on Ms. Ravina's tenure candidacy.

- 83. On April 13, 2016, as Ms. Ravina's scheduled tenure vote neared, sixteen tenured faculty members in Ms. Ravina's department sent yet another petition to the Dean of Columbia Business School and the Provost of Columbia University, indicating that they "[were] not in a position to provide an evaluation of Enrichetta Ravina's tenure case at this time" because her tenure case "presents issues beyond the evaluation of [her] current work product."
- 84. Ultimately, Columbia refused to heed the faculty's repeated requests to postpone Ms. Ravina's tenure vote.
- 85. On April 14, 2016, Columbia held a meeting for Ms. Ravina's department to vote on her tenure candidacy. Upon information and belief, the meeting barely achieved a quorum: only 19 tenured faculty members out of the 36 total members of Ms. Ravina's department participated in the vote. Additionally, upon information and belief, most of the faculty members who attended the meeting had previously expressed serious concerns to Columbia's administration about Ms. Ravina's circumstances and tenure process. In fact, upon information and belief, 15 of the tenured faculty members who attended the meeting had previously joined faculty petitions intended to protect Ms. Ravina or supporting her request for an extension of her tenure clock. This included eight tenured faculty members who had signed the petition stating that they were "not in a position to provide an evaluation of Enrichetta Ravina's tenure case at this time" because her tenure case "presents issues beyond the evaluation of [her] current work

product." The vote of the participating faculty members at that meeting was to deny Ms. Ravina tenure.

- 86. Upon information and belief, on April 20, 2016, Columbia Business School's Promotion and Tenure Committee held its own vote on Ms. Ravina's tenure candidacy. Upon information and belief, the Promotion and Tenure Committee included Senior Vice Dean Katherine Phillips who had previously encouraged Ms. Ravina to "forget" about filing a complaint about Professor Bekaert and to abandon her work as well as Senior Vice Dean Phillips's husband. Additionally, the Promotion and Tenure Committee included Robert J. Hodrick, who had served as Professor Bekaert's Ph.D. advisor and remains his close friend. The vote of the Promotion and Tenure Committee at that meeting was to deny Ms. Ravina tenure.
- 87. On May 11, 2016, Columbia officially provided Ms. Ravina with a letter notifying her that she would be terminated from Columbia effective June 30, 2017.

G. Ms. Ravina's Financial Damages, Emotional Distress, and Reputational Harm

- 88. As a result of Defendants' unlawful conduct, Ms. Ravina will suffer financial losses, including lost salary and benefits associated with tenured professorship and lost external opportunities, including lucrative consulting fees.
- 89. As a result of Defendants' unlawful conduct, Ms. Ravina has experienced severe emotional distress. She worries constantly about her career and feels despair and hopelessness as a result of Columbia's abandonment. Ms. Ravina has been humiliated time and time again as she had to repeat her story to Columbia administrators. Her distress has manifested in physical symptoms such as recurrent insomnia, substantial weight gain, concentration problems, and intense feelings of desperation. Ms. Ravina's social life and romantic relationship have also been adversely affected.

- 90. Ms. Ravina's emotional distress is so severe that, for the past two years, she has seen a psychiatrist weekly in order to cope with her symptoms. She has no prior psychiatric history, and the sole reason Ms. Ravina began seeing a psychiatrist was because of the sexual harassment and retaliation she experienced at Columbia. Her psychiatrist diagnosed her with generalized anxiety disorder, and their treatment has focused on the emotional fall-out from the sexual harassment and retaliation she has suffered at Columbia.
- 91. As a result of Defendants' unlawful conduct, Ms. Ravina has also suffered substantial reputational harm. Defendants' unlawful conduct has set Ms. Ravina back considerably in terms of her publication record, which adversely affects her professional reputation in the academic community. Further, Ms. Ravina has suffered severe reputational harm as a result of the sham tenure vote to which she was forced to submit in which there was no meaningful chance that she could have been granted tenure in light of the systematic obstruction of her work caused by Professor Bekaert and facilitated by Columbia. Now that Ms. Ravina has been denied tenure, she has suffered further reputational harm and the adverse tenure vote is likely to have far-reaching implications for her professional career.

V. <u>COUNTS</u>

COUNT I

VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW— GENDER DISCRIMINATION IN TERMS, CONDITIONS, AND PRIVILEGES New York City Administrative Code § 8-107 (Against All Defendants)

- 92. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 93. Plaintiff is a female employee of Columbia.

- 94. Defendant Bekaert a senior, tenured faculty member at Columbia University is an employee and agent of Defendant Columbia. During all times relevant to this claim, Defendant Bekaert functioned in a managerial or supervisory capacity towards Plaintiff and had the power to alter the terms and conditions of Plaintiff's employment.
- 95. Defendant Bekaert participated in the conduct giving rise to this claim. He aided, abetted, incited, compelled, or coerced the unlawful acts alleged herein.
- 96. Defendants have subjected Plaintiff to discrimination in the terms, conditions, or privileges of employment in violation of the New York City Human Rights Law. Defendants have treated Plaintiff differently from and less preferably than similarly situated male employees.
- 97. Plaintiff's sex has been a determining factor in Defendants' subjecting of Plaintiff to discrimination in the terms, conditions, or privileges of employment.
- 98. By reason of the continuous nature of Defendants' discriminatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 99. As a result of Defendants' unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 100. As a result of Defendants' unlawful conduct, Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT II

VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW— QUID PRO QUO SEXUAL HARASSMENT

New York City Administrative Code § 8-107
(Against All Defendants)

- 101. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 102. Plaintiff is a female employee of Columbia.
- 103. Defendant Bekaert a senior, tenured faculty member at Columbia University is an employee and agent of Defendant Columbia. During all times relevant to this claim, Defendant Bekaert functioned in a managerial or supervisory capacity towards Plaintiff and had the power to alter the terms and conditions of Plaintiff's employment.
- 104. Defendant Bekaert participated in the conduct giving rise to this claim. He aided, abetted, incited, compelled, or coerced the unlawful acts alleged herein.
- 105. Defendants subjected Plaintiff to unwelcome *quid pro quo* sexual harassment based on her sex. Defendants subjected Plaintiff to unwelcome sexual conduct, including sexual comments and sexual advances. She rejected the unwelcome sexual advances.
- 106. Plaintiff's reaction to that conduct was used as the basis for decisions affecting the compensation, terms, conditions, or privileges of her employment. Among other things, as a result of Plaintiff's rejection of Professor Bekaert's sexual advances, Professor Bekaert, facilitated by Columbia, obstructed Plaintiff's ability to research and publish her works.
- 107. A reasonable woman would consider that she was being treated less well than other employees under all of the circumstances. Plaintiff actually considered that she was being treated less well than other employees because she is female.

- 108. A reasonable person would have considered the conduct to be significant and not trivial or petty. Plaintiff actually considered the conduct to be significant and not trivial or petty.
 - 109. Defendants created, enabled, and maintained a sexually hostile work environment.
- 110. Defendant Columbia knew of Defendant Bekaert's conduct and accepted it and/or failed to take immediate and appropriate corrective action.
- 111. Moreover, in the exercise of reasonable care, Defendant Columbia should have known of Defendant Bekaert's conduct and failed to exercise reasonable diligence to prevent such conduct.
- 112. Defendant Columbia lacks a meaningful and responsive procedure for investigating complaints of discriminatory practices by employees, agents, and persons employed as independent contractors and for taking appropriate action against those persons who are found to have engaged in such practices.
- 113. Defendant Columbia fails to effectively communicate a firm policy against such practices to employees, agents, and persons employed as independent contractors.
- 114. Defendant Columbia lacks a program to educate employees and agents about unlawful discriminatory practices under local, state, and federal laws.
- 115. Defendant Columbia lacks procedures for the supervision of employees and agents and for the oversight of persons employed as independent contractors specifically directed at the prevention and detection of such practices.
- 116. By reason of the continuous nature of Defendants' discriminatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.

- 117. As a result of Defendants' unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 118. Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT III VORK CITY HIMA

VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW— HOSTILE WORK ENVIRONMENT New York City Administrative Code § 8-107 (Against All Defendants)

- 119. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 120. Plaintiff is a female employee of Columbia.
- 121. Defendant Bekaert a senior, tenured faculty member at Columbia University is an employee and agent of Defendant Columbia. During all times relevant to this claim, Defendant Bekaert functioned in a managerial or supervisory capacity towards Plaintiff and had the power to alter the terms and conditions of Plaintiff's employment.
- 122. Defendant Bekaert participated in the conduct giving rise to this claim. He aided, abetted, incited, compelled, or coerced the unlawful acts alleged herein.
- 123. Defendants subjected Plaintiff to a hostile work environment based on her sex. The hostile work environment included sexual comments, sexual advances, and the obstruction of Plaintiff's work. All of this conduct was unwanted.

- 124. A reasonable woman would consider that she was being treated less well than other employees under all of the circumstances. Plaintiff actually considered that she was being treated less well than other employees because she is female.
- 125. A reasonable person would have considered the conduct to be significant and not trivial or petty. Plaintiff actually considered the conduct to be significant and not trivial or petty.
 - 126. Defendants created, enabled, and maintained a sexually hostile work environment.
- 127. Defendant Columbia knew of Defendant Bekaert's conduct and accepted it and/or failed to take immediate and appropriate corrective action.
- 128. Moreover, in the exercise of reasonable care, Defendant Columbia should have known of Defendant Bekaert's conduct and failed to exercise reasonable diligence to prevent such conduct.
- 129. Defendant Columbia lacks a meaningful and responsive procedure for investigating complaints of discriminatory practices by employees, agents, and persons employed as independent contractors and for taking appropriate action against those persons who are found to have engaged in such practices.
- 130. Defendant Columbia fails to effectively communicate a firm policy against such practices to employees, agents, and persons employed as independent contractors.
- 131. Defendant Columbia lacks a program to educate employees and agents about unlawful discriminatory practices under local, state, and federal laws.
- 132. Defendant Columbia lacks procedures for the supervision of employees and agents and for the oversight of persons employed as independent contractors specifically directed at the prevention and detection of such practices.

- 133. By reason of the continuous nature of Defendants' discriminatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 134. As a result of Defendants' unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 135. Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT IV

VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW—RETALIATION

New York City Administrative Code § 8-107 (Against All Defendants)

- 136. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 137. Plaintiff is a female employee of Columbia.
- 138. Defendant Bekaert a senior, tenured faculty member at Columbia University is an employee and agent of Defendant Columbia. During all times relevant to this claim, Defendant Bekaert functioned in a managerial or supervisory capacity towards Plaintiff and had the power to alter the terms and conditions of Plaintiff's employment.
- 139. Defendant Bekaert participated in the conduct giving rise to this claim. He aided, abetted, incited, compelled, or coerced the unlawful acts alleged herein.

- 140. Plaintiff engaged in protected activity, and Defendants were aware of Plaintiff's protected activity. Among other things, Plaintiff opposed the unlawful treatment to which she was being subjected by rejecting Defendant Bekaert's sexual advances and by complaining to Defendant Columbia's senior administrators, including Dean Glenn Hubbard, Vice Dean Janet Horan, and Vice Dean Katherine Phillips. Plaintiff also participated in an investigation with Columbia's Title IX office. Plaintiff also notified Defendants of her retention of counsel and her plans to file a complaint to vindicate her rights.
- 141. The practices opposed by Plaintiff constitute colorable violations of the New York City Human Rights Law.
- 142. Plaintiff suffered actions that would be reasonably likely to deter a person from engaging in a protected activity. Among other things, Defendant Bekaert obstructed and systematically delayed Plaintiff's work, and Defendant Columbia revoked Plaintiff's paid leave, accelerated her tenure process, held an insupportable and indefensible tenure vote over faculty objections, and announced the termination of her employment.
- 143. There was a causal connection between Plaintiff's protected activities and Defendants' actions. Defendants subjected Plaintiff to such actions because of and in retaliation for Plaintiff's protected activities.
- 144. By reason of the continuous nature of Defendants' retaliatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 145. As a result of Defendants' unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.

146. Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT V

VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW— DISCRIMINATION IN PROMOTION

New York City Administrative Code § 8-107 (Against Defendant Columbia)

- 147. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 148. Plaintiff is a female employee of Columbia.
- 149. Plaintiff applied for promotion to the position of tenured professor. Plaintiff would have been able to compile a successful tenure package if not for Defendant Columbia's unlawful acts and omissions, including Defendant Columbia's enabling of Professor Bekaert to unlawfully obstruct Plaintiff's work.
- 150. Defendant Columbia lacks a meaningful and responsive procedure for investigating complaints of discriminatory practices by employees, agents, and persons employed as independent contractors and for taking appropriate action against those persons who are found to have engaged in such practices.
- 151. Defendant Columbia fails to effectively communicate a firm policy against such practices to employees, agents, and persons employed as independent contractors.
- 152. Defendant Columbia lacks a program to educate employees and agents about unlawful discriminatory practices under local, state, and federal laws.

- 153. Defendant Columbia lacks procedures for the supervision of employees and agents and for the oversight of persons employed as independent contractors specifically directed at the prevention and detection of such practices.
- 154. Columbia accelerated Plaintiff's tenure process. Many of Columbia's own faculty members objected to holding a vote on her tenure candidacy, but Columbia proceeded with the tenure vote and rejected Plaintiff's tenure candidacy.
- 155. Plaintiff's sex was a determining factor in Defendant Columbia's denial of Plaintiff's application for tenure.
- 156. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 157. Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT VI VIOLATION OF NEW YORK CITY HUMAN RIGHTS LAW— UNLAWFUL TERMINATION New York City Administrative Code § 8-107 (Against Defendant Columbia)

- 158. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 159. Plaintiff is a female employee of Columbia.
- 160. On May 11, 2016, Columbia provided Ms. Ravina with a letter officially notifying her that she would be terminated from Columbia effective June 30, 2017.

- 161. Plaintiff's sex and protected activities were determining factors in Columbia's termination of Plaintiff.
- 162. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 163. Plaintiff is entitled to all remedies available for violations of the New York City Human Rights Law, including back pay, front pay, compensatory damages, punitive damages, attorneys' fees, costs, and other appropriate relief.

COUNT VII

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED—GENDER DISCRIMINATION IN TERMS AND CONDITIONS OF EMPLOYMENT 42 U.S.C. § 2000(e) et seq. (Against Defendant Columbia)

- 164. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 165. Defendant Columbia has discriminated against Plaintiff by subjecting her to different treatment on the basis of her gender.
- 166. Defendant Columbia has discriminated against Plaintiff by treating her differently from and less preferably than similarly-situated male employees and by subjecting her to disparate terms and conditions of employment in violation of Title VII.
- 167. Defendant Columbia's differential treatment of Plaintiff is a direct and proximate result of gender discrimination.
- 168. Defendant Columbia has failed to prevent, respond to, adequately investigate, and/or appropriately resolve instances of gender discrimination in the workplace.

169. By reason of the continuous nature of Defendant Columbia's discriminatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.

170. As a result of Defendant Columbia's unlawful discrimination, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.

171. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT VIII

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED— QUID PRO QUO SEXUAL HARASSMENT

42 U.S.C. § 2000(e) et seq. (Against Defendant Columbia)

- 172. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 173. Defendant Columbia has discriminated against Plaintiff by creating and maintaining a hostile work environment where an ongoing, severe, or pervasive pattern and practice of sexual harassment persists in violation of Title VII.
- 174. Plaintiff was subject to unwelcome *quid pro quo* sexual harassment based on her sex. She was subject to unwelcome sexual conduct, including sexual comments and sexual advances. She rejected the unwelcome sexual advances.
- 175. Plaintiff's reaction to that conduct was used as the basis for decisions affecting the compensation, terms, conditions, or privileges of her employment. Among other things, as a

result of Plaintiff's rejection of Professor Bekaert's sexual advances, Professor Bekaert, facilitated by Columbia, obstructed Plaintiff's ability to research and publish her works.

- 176. Professor Bekaert acted in a managerial or supervisory capacity towards Plaintiff.
- 177. In addition, Defendant Columbia knew of Professor Bekaert's conduct and accepted it and/or failed to take immediate and appropriate corrective action. Plaintiff repeatedly complained about Professor Bekaert's conduct to Columbia's senior administrators.
- 178. The *quid pro quo* sexual harassment altered Plaintiff's conditions of employment by creating an abusive working environment for her.
- 179. Defendant Columbia's conduct has been intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.
- 180. By reason of the continuous nature of Defendant Columbia's unlawful conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 181. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 182. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT IX

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED—HOSTILE WORK ENVIRONMENT

42 U.S.C. § 2000(e) et seq. (Against Defendant Columbia)

- 183. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 184. Defendant Columbia has subjected Plaintiff to a sexually hostile work environment in violation of Title VII.
- 185. Defendant Columbia has denied Plaintiff her personal right to work in an environment free of sexual harassment.
- 186. Defendant Columbia's discriminatory and harassing practices have been, and continue to be, sufficiently severe or pervasive to create an environment that is both subjectively and objectively hostile, abusive, and retaliatory, and Defendant Columbia tolerated, condoned, ratified, and/or engaged in the hostile work environment, or, in the alternative, knew, or should have known, of its existence and failed to take remedial action.
- 187. By reason of the continuous nature of Defendant Columbia's unlawful conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 188. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 189. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other

appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT X

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED— RETALIATION

42 U.S.C. § 2000e-5(f), et seq. (Against Defendant Columbia)

- 190. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 191. Plaintiff engaged in protected activity, and Defendant Columbia was aware of Plaintiff's protected activity. Among other things, Plaintiff opposed the unlawful treatment to which she was being subjected by complaining to Columbia's senior administrators, including Dean Glenn Hubbard, Vice Dean Janet Horan, and Vice Dean Katherine Phillips. Plaintiff also participated in an investigation with Columbia's Title IX office. Plaintiff also notified Defendant Columbia of her retention of counsel and her plans to file a complaint to vindicate her rights.
- 192. Defendant Columbia retaliated against Plaintiff for engaging in protected activity. Among other things, Defendant Columbia revoked Plaintiff's paid leave and accelerated her tenure process.
- 193. There was a causal connection between Plaintiff's protected activities and Defendant Columbia's retaliatory actions. Defendant Columbia's retaliatory acts were a direct and proximate result of Plaintiff's protected activities.
- 194. Defendant Columbia's retaliatory acts were materially adverse, and such acts would dissuade a reasonable person from engaging in a protected activity.
- 195. Defendant Columbia's conduct has been intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.

196. By reason of the continuous nature of Defendant Columbia's retaliatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.

197. As a result of Defendant Columbia's unlawful retaliation, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.

198. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT XI

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED—DISCRIMINATION IN PROMOTION

42 U.S.C. § 2000(e) et seq. (Against Defendant Columbia)

- 199. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 200. Plaintiff is a female employee of Columbia.
- 201. Plaintiff applied for promotion to the position of tenured professor. Plaintiff would have been able to compile a successful tenure package if not for Defendant Columbia's unlawful acts and omissions, including Defendant Columbia's enabling of Professor Bekaert to unlawfully obstruct Plaintiff's work.
- 202. Defendant Columbia has failed to prevent, respond to, adequately investigate, and/or appropriately resolve instances of gender discrimination in the workplace.

- 203. Columbia accelerated Plaintiff's tenure process. Many of Columbia's own faculty members objected to holding a vote on her tenure candidacy, but Columbia proceeded with the tenure vote and rejected Plaintiff's tenure candidacy.
- 204. Defendant Columbia's denial of Plaintiff's application for tenure was a direct and proximate result of gender discrimination.
- 205. Defendant Columbia's conduct is intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.
- 206. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 207. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT XII

VIOLATION OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, AS AMENDED— UNLAWFUL TERMINATION 42 U.S.C. § 2000(e) et seq. (Against Defendant Columbia)

- 208. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 209. Plaintiff is a female employee of Columbia.
- 210. On May 11, 2016, Columbia provided Ms. Ravina with a letter officially notifying her that she would be terminated from Columbia effective June 30, 2017.

- 211. Defendant Columbia wrongfully terminated Plaintiff's employment based on her sex and in retaliation for engaging in protected activity.
- 212. Defendant Columbia's wrongful termination of Plaintiff was an adverse employment action that materially and adversely changed Plaintiff's overall terms and conditions of her employment in violation of Title VII.
- 213. Defendant Columbia's wrongful termination of Plaintiff is a direct and proximate result of gender discrimination and her protected activities.
- 214. Defendant Columbia's wrongful termination of Plaintiff was materially adverse and would dissuade a reasonable person from engaging in a protected activity.
- 215. As a result of Defendant Columbia's unlawful termination of Plaintiff, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 216. Plaintiff is entitled to all legal and equitable remedies available for violations of Title VII, including back pay, front pay, compensatory damages, punitive damages, and other appropriate relief. Attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

COUNT XIII X OF THE EDUCATION

VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED — GENDER DISCRIMINATION IN TERMS AND CONDITIONS OF EMPLOYMENT

20 U.S.C. § 1681 et seq. (Against Defendant Columbia)

- 217. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
 - 218. Upon information and belief, at all times relevant to this action, Columbia has

received, and continues to receive, federal financial assistance.

219. Defendant Columbia has discriminated against Plaintiff by subjecting her to

different treatment on the basis of her gender.

220. Defendant Columbia has discriminated against Plaintiff by treating her differently

from and less preferably than similarly-situated male employees and by subjecting her to

disparate terms and conditions of employment in violation of Title IX.

221. Defendant Columbia's differential treatment of Plaintiff is a direct and proximate

result of gender discrimination.

222. Defendant Columbia has failed to prevent, respond to, adequately investigate,

and/or appropriately resolve instances of gender discrimination in the workplace.

223. By reason of the continuous nature of Defendant Columbia's discriminatory

conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the

unlawful acts alleged herein.

224. As a result of Defendant Columbia's unlawful discrimination, Plaintiff has

suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits,

lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional

and physical distress, mental anguish, and other economic damages and non-economic damages.

225. Plaintiff is entitled to all legal and equitable remedies available for violations of

Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and

other appropriate relief.

COUNT XIV

VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972.

AS AMENDED —

QUID PRO QUO SEXUAL HARASSMENT

20 U.S.C. § 1681 et seq.

(Against Defendant Columbia)

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- 226. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 227. Upon information and belief, at all times relevant to this action, Columbia has received, and continues to receive, federal financial assistance.
- 228. Defendant Columbia has discriminated against Plaintiff by creating and maintaining a hostile work environment where an ongoing, severe, or pervasive pattern and practice of sexual harassment persists in violation of Title IX.
- 229. Plaintiff was subject to unwelcome *quid pro quo* sexual harassment based on her sex. She was subject to unwelcome sexual conduct, including sexual comments and sexual advances. She rejected the unwelcome sexual advances.
- 230. Plaintiff's reaction to that conduct was used as the basis for decisions affecting the compensation, terms, conditions, or privileges of her employment. Among other things, as a result of Plaintiff's rejection of Professor Bekaert's sexual advances, Professor Bekaert, facilitated by Columbia, obstructed Plaintiff's ability to research and publish her works.
 - 231. Professor Bekaert acted in a managerial or supervisory capacity towards Plaintiff.
- 232. In addition, Defendant Columbia knew of Professor Bekaert's conduct and accepted it and/or failed to take immediate and appropriate corrective action. Plaintiff repeatedly complained about Professor Bekaert's conduct to Columbia's senior administrators.
- 233. The *quid pro quo* sexual harassment altered Plaintiff's conditions of employment by creating an abusive working environment for her.
- 234. Defendant Columbia's conduct has been intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.

- 235. By reason of the continuous nature of Defendant Columbia's unlawful conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 236. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 237. Plaintiff is entitled to all legal and equitable remedies available for violations of Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and other appropriate relief.

VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED — HOSTILE WORK ENVIRONMENT 20 U.S.C. § 1681 et seq. (Against Defendant Columbia)

- 238. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 239. Upon information and belief, at all times relevant to this action, Columbia has received, and continues to receive, federal financial assistance.
- 240. Defendant Columbia has subjected Plaintiff to a sexually hostile work environment in violation of Title IX.
- 241. Defendant Columbia has denied Plaintiff her personal right to work in an environment free of sexual harassment.
- 242. Defendant Columbia's discriminatory and harassing practices have been, and continue to be, sufficiently severe or pervasive to create an environment that is both subjectively

and objectively hostile, abusive, and retaliatory, and Defendant Columbia tolerated, condoned, ratified, and/or engaged in the hostile work environment, or, in the alternative, knew, or should have known, of its existence and failed to take remedial action.

- 243. By reason of the continuous nature of Defendant Columbia's unlawful conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 244. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 245. Plaintiff is entitled to all legal and equitable remedies available for violations of Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and other appropriate relief.

COUNT XVI VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED — RETALIATION 20 U.S.C. § 1681 et seq. (Against Defendant Columbia)

- 246. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 247. Upon information and belief, at all times relevant to this action, Columbia has received, and continues to receive, federal financial assistance.
- 248. Plaintiff engaged in protected activity, and Defendant Columbia was aware of Plaintiff's protected activity. Among other things, Plaintiff opposed the unlawful treatment to which she was being subjected by complaining to Columbia's senior administrators, including

Dean Glenn Hubbard, Vice Dean Janet Horan, and Vice Dean Katherine Phillips. Plaintiff also participated in an investigation with Columbia's Title IX office. Plaintiff also notified Defendant Columbia of her retention of counsel and her plans to file a complaint to vindicate her rights.

- 249. Defendant Columbia retaliated against Plaintiff for engaging in protected activity. Among other things, Defendant Columbia revoked Plaintiff's paid leave and accelerated her tenure process.
- 250. There was a causal connection between Plaintiff's protected activities and Defendant Columbia's retaliatory actions. Defendant Columbia's retaliatory acts were a direct and proximate result of Plaintiff's protected activities.
- 251. Defendant Columbia's retaliatory acts were materially adverse, and such acts would dissuade a reasonable person from engaging in a protected activity.
- 252. Defendant Columbia's conduct has been intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.
- 253. By reason of the continuous nature of Defendant Columbia's retaliatory conduct, Plaintiff is entitled to the application of the continuing violation doctrine to the unlawful acts alleged herein.
- 254. As a result of Defendant Columbia's unlawful retaliation, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 255. Plaintiff is entitled to all legal and equitable remedies available for violations of Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and

other appropriate relief.

COUNT XVII

VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED —

DISCRIMINATION IN PROMOTION 20 U.S.C. § 1681 et seq.

(Against Defendant Columbia)

- 256. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 257. Upon information and belief, at all times relevant to this action, Columbia has received, and continues to receive, federal financial assistance.
 - 258. Plaintiff is a female employee of Columbia.
- 259. Plaintiff applied for promotion to the position of tenured professor. Plaintiff would have been able to compile a successful tenure package if not for Defendant Columbia's unlawful acts and omissions, including Defendant Columbia's enabling of Professor Bekaert to unlawfully obstruct Plaintiff's work.
- 260. Defendant Columbia has failed to prevent, respond to, adequately investigate, and/or appropriately resolve instances of gender discrimination in the workplace.
- 261. Columbia accelerated Plaintiff's tenure process. Many of Columbia's own faculty members objected to holding a vote on her tenure candidacy, but Columbia proceeded with the tenure vote and rejected Plaintiff's tenure candidacy.
- 262. Defendant Columbia's denial of Plaintiff's application for tenure was a direct and proximate result of gender discrimination.
- 263. Defendant Columbia's conduct is intentional, deliberate, willful, malicious, reckless, and conducted in callous disregard to Plaintiff's rights, entitling her to punitive damages.

- 264. As a result of Defendant Columbia's unlawful conduct, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 265. Plaintiff is entitled to all legal and equitable remedies available for violations of Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and other appropriate relief.

COUNT XVIII VIOLATION OF TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED — UNLAWFUL TERMINATION 20 U.S.C. § 1681 et seq. (Against Defendant Columbia)

- 266. Plaintiff re-alleges and incorporates by reference each and every allegation in this Complaint as though fully set forth herein.
- 267. Upon information and belief, at all times relevant to this action, Columbia has received, and continues to receive, federal financial assistance.
 - 268. Plaintiff is a female employee of Columbia.
- 269. On May 11, 2016, Columbia provided Ms. Ravina with a letter officially notifying her that she would be terminated from Columbia effective June 30, 2017.
- 270. Defendant Columbia wrongfully terminated Plaintiff's employment based on her sex and in retaliation for engaging in protected activity.
- 271. Defendant Columbia's wrongful termination of Plaintiff was an adverse employment action that materially and adversely changed Plaintiff's overall terms and conditions of her employment in violation of Title IX.
 - 272. Defendant Columbia's wrongful termination of Plaintiff is a direct and proximate

result of gender discrimination and her protected activities.

- 273. Defendant Columbia's wrongful termination of Plaintiff was materially adverse and would dissuade a reasonable person from engaging in a protected activity.
- 274. As a result of Defendant Columbia's unlawful termination of Plaintiff, Plaintiff has suffered and will continue to suffer harm, including but not limited to lost earnings, lost benefits, lost future employment opportunities, humiliation, embarrassment, reputational harm, emotional and physical distress, mental anguish, and other economic damages and non-economic damages.
- 275. Plaintiff is entitled to all legal and equitable remedies available for violations of Title IX, including back pay, front pay, compensatory damages, attorneys' fees and costs, and other appropriate relief.

PRAYER FOR RELIEF ON CLAIMS

WHEREFORE, Plaintiff prays that this Court:

- A. Award Plaintiff all of her damages under the New York City Human Rights Law; Title VII of the Civil Rights Act of 1964, as amended; and Title IX of the Education Amendments of 1972, as amended, including back pay, front pay, compensatory damages, and punitive damages in excess of \$30 million;
 - B. Award Plaintiff all attorneys' fees, costs, and expenses available under law;
- C. Award Plaintiff all pre-judgment interest and post-judgment interest available under law; and
- D. Award Plaintiff such additional and further relief as this Court may deem just and proper.

VI. JURY DEMAND

Plaintiff demands a trial by jury on all issues triable of right by jury.

Dated: July 7, 2016

Respectfully submitted,

David Sanford (admitted pro hac vice)

Jeremy Heisler (JH-0145)

Alexandra Harwin (admitted pro hac vice)

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